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Inventor(s): Rosen, Eric et al.For: Method And Apparatus For Reducing Transmission Overhead In A Communication System

Enclosed are:

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 3. ☒ Declaration/Power of Attorney: ☐ Signed ☒ Unsigned  
 4. ☐ An Assignment ( ) pages and Recordation Form Cover Sheet.  
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Date: September 20, 2000

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )  
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) Communication System  
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# METHOD AND APPARATUS FOR REDUCING TRANSMISSION OVERHEAD IN A COMMUNICATION SYSTEM

## BACKGROUND OF THE INVENTION

### I. Field of the Invention

The present invention relates generally to a data communication system in which data packets are sent over a wireless medium to one or more recipients. More particularly, this invention relates to a system and method for eliminating header information in data packets during wireless transmission and re-constructing the data packets for delivery across a data network.

### II. Description of the Related Art

The transmission of data packets over a network has been a mainstay of computer technology for many years and the communication protocols used in to transmit these data packets over various networks are well established. Under conventional communication protocols, it is common for a client to initiate connection with a server and to request desired data from the server. As part of the request, the client sends information pertaining to how the data should be sent. For example, the client might include a client address, TCP port number, and so forth.

Digital data, whether transmitted over a wire-based distribution network (e.g., local area network, wide area network, cable, etc.) or a wireless distribution network (e.g., satellite, cellular, RF, paging, etc.), is typically packetized and sent over the network in individual packets. Some protocols call for fixed size packets, while other protocols utilize variable size packets. To improve transmission efficiency and to keep pace with the exploding demand for digital information, there is a constant design objective to pump increasingly more data through the same bandwidth pipeline over any given network.

One way to achieve this objective is through packet compression. Packets may be compressed at a server, transmitted in their compressed state over a network, and decompressed at a client. Apart from compressing whole packets, another solution is partial packet compression in which portions of the packet, such as a header or a data payload, are compressed.

One technique for compressing packet headers is discussed in an article

by V. Jacobson, entitled "Compressing TCP/IP Headers for Low-Speed Serial Links," and found at the web address <http://www.cis.ohio-state.edu/rfc/rfc1144.txt>. The Jacobson technique provides an elaborate and complex compression scheme that reduces a 40-byte TCP/IP (Transmission Control Protocol/Internet Protocol) packet header to a three-byte compressed header. The compressed header has an encoded change to the packet ID, a TCP checksum, a connection number, and a change mask. The hardware and/or software used to implement the Jacobson technique must perform sophisticated computations that compress the 40-byte header to the three-byte compressed header, and then subsequently decompress the compressed header to reproduce the uncompressed header.

Another technique for compressing packet headers is discussed in an article by S. Casner and V. Jacobson, entitled "Compressing IP/UDP/RTP Headers for Low-Speed Serial Links," and found at the web address <http://www.cis.ohio-state.edu/rfc/rfc2508.txt>. This technique reduces the 40-byte IP/UDP/RTP (Internet Protocol, User Datagram Protocol, Real-Time Protocol) header to an average of between 2 and 4 bytes generally by transmitting second order differences when one or more fields within the header change.

In a wireless data communication system, real-time data, such as voice or video information, may be transmitted using the data protocols discussed above. In this kind of application, delays between a transmitter and a receiver may result in unacceptable distortion of the information being transmitted. This delay, known commonly as latency, can be minimized by reducing the overhead associated with data packets during transmission. However, using the above techniques still results in a large transmission overhead of header information relative to the data being transmitted.

An additional drawback of the above header-compression techniques is that information must occasionally be transmitted from the receiver to the transmitter to alert the transmitter that an error has occurred. In this case, either the transmitter must re-transmit the lost or corrupted data or an update of header information must be re-transmitted to the receiver. This process produces an unacceptable amount of latency in real-time or near real-time applications.

Consequently, there is a need to further reduce the size of headers associated with packet data, especially in real-time applications such as the transmission of audio and video signals.

## SUMMARY OF THE INVENTION

5 The present invention is directed to a system and method for reducing transmission overhead in a communication system. In one embodiment, the present invention is directed to an apparatus for reducing transmission overhead in a communication system, the apparatus comprising a processor for generating a data origination message, the data origination message initiating a data communication with a receiving station. The processor further transmits  
10 information needed to construct data network header information at the receiving station, and for subsequently formatting information to be transmitted in accordance with a pre-determined format, the pre-determined format lacking data network header information. The apparatus further comprises a transmitter for transmitting the data origination message, the information needed to construct data network header information at the  
15 receiving station, and the formatted information to said receiving station.

At the receiving station, the data origination message and the information needed to construct data network header information is received, and the information needed to construct data network header information is  
20 stored in a storage device. A processor at the receiving station then configures a data packet generator to send datagrams to a destination data network address. Formatted data received from the wireless communication device is then provided to the data packet generator where it is converted into datagrams complete with any necessary data network header information, and then  
25 transmitted across a data network to a desired data device.

In another embodiment, the present invention is directed to a method for reducing transmission overhead in a communication system, comprising the steps of generating a data origination message, the data origination message initiating a data communication with a receiving station. Information needed to  
30 construct data network header information at said receiving station is then transmitted to the receiving station, as well as the data origination message. Information to be transmitted is then formatted in accordance with a pre-determined data format, the pre-determined data format lacking data network header information. The formatted data is then transmitted to the receiving  
35 station.

The data origination message and information needed to construct data network header information is received by a processor located at the receiving station. The information needed to construct data network header information

is stored in a storage device. The processor then configures a data packet generator to generate datagrams to a destination data network address. Formatted data received from the wireless communication device is then provided to the data packet generator, where it is converted into datagrams suitable for transmission across a data network to a data device associated with the destination data network address.

An advantage of the method and apparatus for reducing transmission overhead in a communication system is that header information associated with one or more data protocols does not need to be transmitted by the wireless communication device when engaged in data communications.

Another advantage of the method and apparatus for reducing transmission overhead in a communication system is a reduction in bandwidth necessary to transmit information. This results in either higher data rates or an increased number of users over a given bandwidth.

Yet another advantage of the method and apparatus for reducing transmission overhead in a communication system is that latency is reduced for real-time applications, such as voice or video.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The features, objects, and advantages of the present invention will become more apparent from the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify correspondingly throughout and wherein:

FIG. 1 illustrates a typical wireless terrestrial communication system used in conjunction with the method and apparatus for reducing transmission overhead;

FIG. 2 illustrates a transmitter as used in one embodiment of the method and apparatus for reducing overhead in the wireless communication system of FIG. 1;

FIG. 3 illustrates a functional block diagram of a base station used in the communication system of FIG. 1;

FIG. 4 illustrates a data packet used to transport information in a data network;

FIG. 5 illustrates the information contained in a typical IP header;

FIG. 6 is a flow diagram illustrating the method of operation a wireless communication device operating in the communication system of FIG. 1;

FIG. 7 is a flow diagram illustrating the method of operation of the base

station of FIG. 3; and

FIG. 8 is a flow diagram illustrating data transmission from a destination device to a wireless communication device operating in the communication system of FIG. 1.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is directed to a system and method for reducing transmission overhead in a communication system. Although one embodiment of the present invention is described herein with respect to a wireless terrestrial communication system, it should be understood that other embodiments are possible, including use in a satellite communication system, or in a wire-based communication system as well.

FIG. 1 illustrates a typical wireless terrestrial communication system comprising a plurality of wireless communication devices (WCDs) 10, a plurality of receiving stations, or base stations, 12, a base station controller (BSC) 14, a mobile switching center (MSC) 16, and an InterWorking Function (IWF) 18. Wireless communication device 10 is typically a wireless telephone, although wireless communication device 10 could alternatively comprise a personal data assistant (PDA), a computer equipped with a wireless modem, or any other device capable of transmitting and receiving information to another communication device. Base station 12, while shown in FIG. 1 as a fixed base station, might alternatively comprise a mobile communication device, a satellite, or any other device capable of transmitting and receiving communications from wireless communication device 10.

MSC 16 is configured to interface with a conventional public switched telephone network (PSTN) 22. IWF 18 is configured to communicate with data network 20, such as the Internet. MSC 16 is also configured to interface with BSC 14. BSC 14 is coupled to each base station 12 via backhaul lines. The backhaul lines may be configured in accordance with any of several known interfaces including E1/T1, ATM, or IP. It is to be understood that there can be more than one BSC 14 in the system. Each base station 12 may include one or more sectors, each sector comprising an antenna pointed in a particular direction radially away from base station 12. Alternatively, each sector may comprise two antennas for diversity reception.

During typical operation of the wireless communication system shown in FIG. 1, base stations 12 receive information from WCDs 10 using a reverse link and transmit information to WCDs 10 using a forward link. The WCDs 10

communicate with base stations 12 using one or more service options defined and made available by a wireless service provider. For example, a voice service option may be defined which allows typical voice conversations to take place using known modulation techniques and protocols. A data service option may be defined which allows the transmission of data, including data files and email, among other data types. Additionally, another data service may be defined as a combination of the voice service option and the data service option. The combined service option is described herein as a voice-over-data (VOD) service option which allows the transmission of real-time media, such as voice or video signals, using data protocols suitable for transmission over a data network, such as the Internet.

In each of the above cases, reverse link signals received by a given base station 12 are processed within that base station 12. The resulting data is forwarded to BSC 14, in the case of a voice service call, or to IWF 18 in the case of a data or VOD service call. BSC 14 provides call resource allocation and mobility management functionality including the orchestration of soft handoffs between base stations 12. BSC 14 also routes the received data to MSC 16 where it is forwarded to PSTN 22 and onto a desired communication device 24, such as a telephone. In the case of a data service call or a VOD call, data packets are routed from IWF 18 through data network 20 and then to a desired data device 26, such as a computer, audio recording device, video recording device, or any other device which can receive data packets across data network 20. Alternatively, voice calls from WCD 10 may be routed to a second WCD 10, or even to data device 26 if the signal from WCD 10 is converted into data packets suitable for transmission across data network 20. Similarly, data service calls and VOD calls may be routed to a second WCD 10, or to communication device 24, if the data packets received by BSC 14 are converted to produce a signal suitable for transmission across PSTN 22. It should be understood that the term "data packet" and "datagram" are used interchangeably throughout this specification.

FIG. 2 illustrates a transmitter 200 as used in one embodiment of the method and apparatus for reducing overhead in the wireless communication system of FIG. 1. Transmitter 200 typically resides in a WCD 10.

Transmitter 200 is shown in functional block diagram format configured to transmit information in a traditional voice service mode. However, transmitter 200 may also be configured to transmit data, for example using a data service option, or a VOD option, depending on the application or user preference.



In a voice service mode of operation, transducer **204** receives audio information, such as human speech, to be transmitted over the air and eventually to communication device **24**, or to a second WCD **10**. Transducer **204** comprises a microphone and any necessary electronic circuitry and/or software to convert analog audio information into a digital format. Such techniques are well known in the art.

The digitized audio information is then provided to vocoder **206** where it is converted into vocoder frames, in the case of an audio application. Alternatively, in the case of a video application, the digital data from transducer **204** may be provided to a suitable data compression device in order to minimize the bandwidth necessary for over the air transmission. Such compression devices are also well known in the art.

In another embodiment, no data compression device is used. In this case, digital information from transducer **204** is provided directly to modulator **208**. In yet another embodiment, data is provided to modulator **208** either directly or indirectly from a data source, rather than transducer **204**. Such may be the case when transmitting non-real-time data such as email or other data files.

In another embodiment, data packets suitable for transmission over data network **20** are generated by, for example, an application running on WCD **10**, such as an Internet web-browser, an email application, a digital video camera, and others. In such applications, data packets suitable for transmission over data network **20** are generated, each data packet comprising necessary header information needed to communicate with a destination device. Such header information is referred to interchangeably herein as data network header information. For example, a web-browser operating on WCD **10** may generate IP datagrams, which are data packets formatted for transmission across data network **20**, when a user wishes to access a web page. IP datagrams comprise data network header information, for example, header information relating to IP, UDP, TCP, etc.

The datagrams are provided to processor **212** where the header information is stripped away. The remaining information is then re-formatted into a predetermined data protocol for over-the-air transmission to base station **12**, the predetermined data protocol generally lacking any data network header information. For example, processor **212** may format the remaining information into one or more vocoder-like frames, each one comprising, in one embodiment, a frame 20 milliseconds in duration, holding a relatively large number of information bits. A vocoder like frame is defined as a frame where virtually all

of the frame is devoted to the actual data payload, such as vocoder or video or Internet data, and almost none is devoted to overhead, such as data protocol headers. The advantage of re-formatting the remaining information into vocoder-like frames is that the protocols for transmitting vocoder frames over-the-air are generally already incorporated into transmitter 200 and base station, and also all of the available communications bandwidth is available for transmission of end user data rather than being devoted to overhead. 12. Of course, processor 212 may alternatively re-format the remaining information in a form other than vocoder-like frames. These alternative encoding formats are generally well-known in the art.

In any case, the digital data provided to modulator 208 is processed in accordance with the particular modulation scheme used in the wireless communication system. For example, the digital data can be modulated using Code Division Multiple Access (CDMA), Time Division Multiple Access (TDMA), or Global System for Mobile communication (GSM), or other well known modulation schemes. The modulated data is then provided to RF transceiver 210, where it is upconverted and then transmitted to base station 12.

In one embodiment, processor 212 is used to coordinate the activities of the various functional blocks shown in FIG. 2. In another embodiment, much of the processing normally accomplished using processor 212 is transferred into the various functional blocks. Processor 212 may comprise a Digital Signal Processor (DSP) executing a set of computer instructions, a custom ASIC, discreet elements, or other techniques generally known in the art for providing control of the various functions occurring within transmitter 200.

In addition to providing the functionality necessary to transmit information as described above, processor 212 performs other tasks necessary for implementation of the system and method for reducing transmission overhead in the wireless communication system. In accordance with one embodiment, a data service mode of operation or a VOD service mode of operation is implemented without actually generating IP datagrams at transmitter 200. Rather, data is transmitted in frames in accordance with the voice service mode of operation, and converted into IP datagrams at base station 12. By sending data using a voice service mode of operation, header information normally associated with data packets do not have to be transmitted from transmitter 200 to base station 12, thus reducing transmission overhead normally associated with the transmission of data packets in a wireless communication system.

In another embodiment, the data service mode and/or the VOD service mode relies on the generation of IP datagrams at transmitter 200. In this case, header information associated with the IP datagrams is removed by processor 212, and the remaining information is re-formatted for over-the-air transmission using one of any number of well-known over-the-air data protocols.

In order to transmit data in a data service mode or a VOD mode, processor 212 sends a data origination message to base station 12 prior to transmitting information using either the data service option or the VOD service option. The data origination message alerts base station 12 that a communication involving the transmission of data, rather than voice, is about to occur.

Rather than transmit entire IP datagrams over the air to base station 12, the system and method for reducing transmission overhead transmits only the data portion of a datagram and relies on base station 12 to construct a datagram complete with data network header information using information supplied by processor 212. This may be accomplished in one of several ways. The information needed to construct datagrams at base station 12 can be communicated in the data origination message, in the subsequent transmission of actual data, or a combination of both.

In the case of transmitting information needed to generate data network header information in a data origination message, one or more fields comprising a particular data network header may be transmitted in the data origination message. For example, the data origination message may contain at least a destination data network address corresponding to a data device 26 for which communications are intended. The destination data network address may comprise an IP address corresponding to data device 26, such as a computer. Data network header information may then be generated by knowing the destination data network address. Other fields of the various data network headers may be generated using information stored within base station 12, by negotiating with data device 26, or by using other information as provided by WCD 10, as described below.

In another embodiment, the needed information to construct datagrams at base station 12 is transmitted in data sent subsequent to the data origination message. For example, after the data origination message has been transmitted from WCD 10 to base station 12 indicating a forthcoming data communication, subsequent data containing the information necessary to generate data network header information is transmitted. For example, after the data origination message has been transmitted, at least one full datagram, complete with either

full, partial, or compressed data network headers, are transmitted to base station 12. Upon receipt of the subsequent data, base station 12 is able to generate data network header information without the need for further header information from WCD 10. In this case, once base station 12 is able to generate data network header information, a message is transmitted from base station 12 to WCD 10 indicating that WCD 10 should begin transmitting information without data network header information.

Finally, in yet another embodiment, a combination of techniques are used. For example, one portion of the information needed to generate datagrams at base station 12 is transmitted in the data origination message, while another needed portion is transmitted in a datagram comprising either full or compressed headers.

The information transmitted by WCD 10 to allow base station 12 to generate data network header information may comprise a single piece of information, such as a destination data address, a destination data address, an IP checksum indication, a type-of-service indication, a total length indication, or any single field comprising a data network header. In another embodiment, more than one type of information is transmitted.

As an example of the first embodiment described above, a user of WCD 10 may want to transmit secure voice information to data device 26 connected to data network 20 using a VOD service option. In this example, data device 26 comprises a secure telephone capable of communicating in a VOD mode of operation. VOD communications are accomplished by encrypting voice information within WCD 10, transmitting the encrypted information over the air to base station 12, then constructing datagrams at base station 12 in accordance with the data origination message provided by processor 212. As the encrypted data is received by base station 12, datagrams suitable for transmission over data network 20 are generated by adding one or more data protocol headers to the received information. Each header corresponds to a particular data protocol used to transmit datagrams across data network 20. In practice, more than one protocol is used. For example, the transmission of real-time data over data network 20 may comprise a TCP protocol, a UDP protocol, and an RTP protocol which are all well-known data protocols. The protocols used to transmit data across data network 20 may be specified by WCD 10 in the data origination message or they may be specified by a processor located within base station 12, as described below.

A data service call or a VOD service call originates at transmitter 200 when a user of WCD 10 chooses to originate such a communication with a

destination device, such as a second WCD 10, data device 26, or communication device 24. The destination device will generally have an address which uniquely identifies it on data network 20. Such an address comprises a well known IP address, in the case of data network comprising the Internet, or any other address suited to the particular data network. In an alternative embodiment, the destination device does not have a permanent address assigned to it. In this case, an address must be assigned to the destination device prior to initial contact by the user of WCD 10. This may be accomplished by placing a standard telephone call to the destination device and providing an address at that time.

The user of WCD 10 may initiate a data communication by, for example, using user interface 214 to scroll through an electronic phonebook which is stored in storage device 216. An address corresponding to each person/device is stored in storage device 216 so that when a name/device is chosen by the user, an address is available to processor 212. Alternatively, the user could directly enter an address to WCD 10 using user interface 214 corresponding to the person/device to which communications are desired. In yet another embodiment, a data address is provided automatically by an application operating within WCD 10.

Storage device 216 is generally a non-volatile memory and may comprise one or more individual devices, each for storing information needed for the operation of WCD 10. The information stored in the electronic phonebook is usually presented to the user via user interface 214. User interface 214 comprises a keypad, voice recognition system, or other known device for entering information into WCD 10. User interface 214 additionally comprises a display or an audio system for presenting information to the user. Such user interfaces are well known in the art.

In any case, an address corresponding to the data device to which communications are desired is chosen and provided to processor 212. This address becomes the destination data network address to which a data communication will be initiated.

In one embodiment, storage device 216 additionally stores information pertaining to a protocol stack used during data communications. In one embodiment, the protocols comprise IP, UDP, and RTP, although other protocols or combinations of protocols could be used in the alternative. In another embodiment, information pertaining to each data protocol planned to be used to transmit data packets across data network 20 is stored in storage device 216. Each communication type may have a pre-designated set of

protocols associated with it. For example, a first communication type involving error-free transmission may use the IP and TCP protocols. A second communication type involving real-time media may use the IP, UDP, and RTP protocols. When a particular communication type is chosen, either explicitly by the user or automatically by processor 212, a data origination message comprising information pertaining to the predetermined set of data protocols is transmitted to base station 12.

FIG. 3 illustrates a functional block diagram of base station 12, comprising RF transceiver 300 for transmitting and receiving high-frequency communication signals from WCD 10. Such transceivers are well-known in the art. The resulting signal from RF transceiver 318 is provided to demodulator 302 where it is demodulated in accordance with the particular modulation scheme used by the communication system. In one embodiment, demodulator 302 produces vocoder frames which are then provided to data packet generator 304. In other embodiments, demodulator 302 produces data packets, or simply a stream of digital information corresponding to the information transmitted by WCD 10.

Processor 306 is generally responsible for coordinating the functionality of the various functional blocks in base station 12. Processor 306 comprises a digital signal processor executing computer instructions in one embodiment, although processor 306 could alternatively comprise a custom ASIC, discrete components, or other means known in the art. Alternatively, the functionality of processor 306 could be distributed in several processors within each of the functional blocks detailed in FIG. 3.

When a data origination message or information needed to construct datagrams at base station 12 is received by RF transmitter 300, it is downconverted and then provided to demodulator 302. Demodulator 302 demodulates the information, then provides it to processor 306. Processor 306 stores the information needed to construct datagrams in a storage device 308, such as a destination data network address, or, alternatively, other information regarding the generation of data packets, such as a destination port address, or a payload type. In addition, processor 306 configures data packet generator 304 to communicate with the destination device associated with the destination data network address using one or more data protocols. The data protocols are either predetermined, or they are specified by WCD 10 in the information needed to construct datagrams, or they are determined in an initial communication between base station 12 and the destination device. Processor 306 also performs various other functions upon receipt of the data origination

message based on the information contained within the data origination message, such as initializing a communication with the destination data network address specified by the data origination message, if such an address has been provided. Once the initialization is complete, WCD 10 may transmit information to the destination device.

After data packet generator 304 has been configured, WCD 10 is free to send information, such as voice or video information, to base station 12. This information is received by RF transceiver 300, and is downconverted and provided to demodulator 302 where the original information transmitted by WCD 10 is recovered. The demodulated information is provided to data packet generator 304, where it is encapsulated into data packets, or datagrams, suitable for transmission over data network 20. This process comprises adding headers to portions of the demodulated information. The header information is provided by processor 306 and storage device 308. For example, if the information needed to construct datagrams specifies a destination data network address of 123.45.4.198 and the use of the IP, UDP, and RTP data protocols, then data packet generator 304 will generate datagrams in accordance with these protocols, using the information stored in storage device 308 as well as data packet generator 304 calculating necessary header values as needed. An illustration of such a datagram is shown in FIG. 4 as datagram 400, comprising data network header information and data 408. In this embodiment, data network header information comprises IP header 402, UDP header 404, RTP header 406.

FIG. 5 illustrates the information contained in a standard IP header. IP headers are well-known in the art. IP header 402 in FIG. 5 comprises 20 bytes of data arranged in eleven fields relating to various aspects for the delivery of data from a source to a destination. The IP protocol is a network layer protocol offering a connectionless inter-network service. IP provides features for addressing, type-of-service specification, fragmentation, re-assembly, and security of data packets, and is defined in Request For Comments (RFC) 791. The Internet Request For Comments documents are written definitions of the protocols and policies of the Internet and are readily found on many websites.

In one embodiment, information needed to construct datagrams at base station 12 is transmitted in the data origination message sent by transmitter 200, and comprises at least IP destination data network address 502, discussed above. Other fields may be transmitted as well, or, in alternative embodiments, one or more of the fields may be stored in storage device 308, or the fields may be generated as needed by processor 306. In another embodiment, the

information needed to construct datagrams at base station 12 is transmitted in a data frame subsequent to transmission of the data origination message. For example, a datagram complete with data network header information may be formatted at WCD 10 and transmitted to base station 12.

Information in the form of data packets may also be transmitted to WCD 10 from a destination device using a similar process as described above. In this case, data packets, or datagrams, are transmitted from the destination device to IWF 18, or some other device which interfaces data network 20 to base station 12. Each datagram contains a destination data network address corresponding to the particular WCD 10 to which communications are desired. This address typically comprises an IP address.

In one embodiment, IWF 18 maintains a database which associates each registered WCD 10 in the system with a destination data network address, if one has been assigned to a particular WCD 10. In another embodiment, the database containing destination data network addresses are stored in base station 12. When datagrams arrive at IWF 18 (or base station 12), the destination data network address from the datagrams matched against the list of addresses stored in the database. If a match is found, a data origination message is transmitted to WCD 10 indicating that a data communication is available. In one embodiment, the data origination message comprises information which allows WCD 10 to decode subsequent information. For example, the data origination message may instruct processor 212 to decode subsequent information into vocoder frames. In another embodiment, the data origination message comprises information pertaining to the re-creation of IP datagrams. This may include an identification of one or more data protocol headers, such as TCP headers, UDP headers, etc. It may also include one or more parameters which comprise the various data network headers, such as an address corresponding to the destination device, an IP checksum, a total length field, etc. The parameters are stored in storage device 216 for use in re-creating IP datagrams as information is subsequently received from base station 12 and IWF 18. As explained above, the information needed to construct datagrams at WCD 10 may be transmitted in one or more data frames subsequently transmitted from base station 12.

After the data origination message has been received and processed by WCD 10, subsequent information from base station 12 may now be transmitted to WCD 10. As further IP datagrams are received from IWF 18, processor 212 strips away any data network headers associated with the datagrams, leaving remaining information to be transmitted. This information is then re-formatted



in accordance with a predetermined data format, such as the creation of vocoder-like frames, described above. The re-formatted information is then modulated using the particular modulation technique used in the communication system, such as CDMA, TDMA, or GSM, then transmitted over the air to WCD 10. WCD 10 receives the information and processes it using well-known techniques to re-create the data transmitted by the destination device, for example, vocoder-like frames.

At this point, the received information, now in the form of re-formatted frames for example, may be used directly by providing the information to a vocoder located within WCD 10. In another embodiment, IP datagrams are re-created within WCD 10 for use in one or more applications which require information to be provided in the form of IP datagrams. In this case, processor 212 re-creates IP datagrams using information stored in storage device 216, including any necessary header information. After the IP datagrams have been created, they may then be provided to the application requiring IP datagrams.

FIG. 6 is a flow diagram illustrating the method of operation of WCD 10. In step 600, a user of WCD 10 chooses a destination device to communicate with. This may be accomplished by selecting a name from an electronic "phonebook" stored within WCD 10, by manually entering an address using a keypad on WCD 10, or by selecting a web site or email address to connect to using an application running on WCD 10. Other methods well-known in the art may be used in the alternative.

The selection by the user ultimately provides a destination data network address to processor 212 within WCD 10. The destination data network address corresponds to a destination device selected by the user and, in one embodiment, comprises an IP address, which is well-known in the art.

Once the destination data network address is provided to processor 212, a data origination message is generated by processor 212 which initiates communications with base station 12. This is shown as step 602 in FIG. 6. In one embodiment, the data origination message comprises information needed to construct datagrams at base station 12, such as the destination data network address to which communications are sought. In other embodiments, additional information is contained within the data origination message, such as a port address, encryption state information, or the type of information that will be transmitted by WCD 10 (i.e., real-time voice, real-time video, data files, etc), or information specifying the number and type of data protocols to be used during data transmission from base station 12 to the chosen destination device. If protocol information is transmitted by WCD 10, one or more header

parameters may additionally be transmitted to further specify how data is to be transmitted across data network 20 once the data reaches base station 12. In yet another embodiment, the information needed to construct datagrams at base station 12 is transmitted in one or more subsequent data frames.

After the information needed to construct datagrams has been transmitted by WCD 10 to base station 12, information may then be transmitted by WCD 10, as shown in step 606. In another embodiment, WCD 10 may not transmit data until an acknowledgement message is received from base station 12, as shown in FIG. 6 as step 604. Acknowledgement messages are well-known in the art. The data transmitted may be any type of information, such as voice, data files, video, email, etc.

FIG. 7 is a flow diagram illustrating the method of operation of base station 12. In step 700, base station 12 receives a data origination message from WCD 10 and/or information needed to construct datagrams at base station 12. In one embodiment, the data origination comprises at least a destination data network address corresponding to a destination device, such as a computer or to another WCD. In other embodiments, other information is provided in the data origination message as well, such as a destination port address, or information specifying the number of and type of data protocols to be used by base station 12 when communicating with the desired destination device.

After receiving the information needed to construct datagrams at base station 12 in step 700, information from the message is stored in storage device 308, shown in step 702, for purposes of constructing datagrams. In step 704, processor 306 configures data packet generator 304 to construct datagrams in accordance with either a default set of data protocols, or a set of protocols specified in the information stored in storage device 308. Alternatively, the set of data protocols may be specified by a negotiation between processor 306 and the destination device to which communications are desired.

The configuration of data packet generator 304 comprises providing information for datagram construction, including any required header information. The required header information is stored in storage device 308, or generated as needed by processor 306 and/or data packet generator 304. For example, header information may comprise a source address corresponding to WCD 10, a source port number corresponding to an application operating on WCD 10, a destination data network address corresponding to the destination device, and a port address corresponding to an application operating on the destination device. Of course, other information needed to generate data

packets, including header information, may be stored and provided by storage device 308. The generation of datagrams is well-known in the art.

After data packet generator 304 has been configured for operation in step 704, information may now be transmitted from WCD 10 to the destination device. Processor 306 may, in one embodiment, send a message to WCD 10 indicating that data packet generator 304 has been configured for data transmission across data network 20. Information is received from WCD 10 in step 706 and provided to data packet generator 304. Data packet generator 304 generates data packets in accordance with the configuration specified by processor 306, as shown in step 706. As information is provided to data packet generator 304, it is placed into a data packet defined by one or more headers and transmitted across data network 20 to the destination device.

FIG. 8 is a flow diagram illustrating data transmission from a destination device to WCD 10. In step 800, data from the destination data device is received by data packet receiver 310. The data is typically configured into data packets, otherwise known as datagrams, each data packet comprising one or more headers and an information portion.

In step 802, processor 306 determines a destination data network address contained within the one or more the headers associated with a data packet. The destination information in this case identifies a particular WCD 10 to which the data packet is intended. Processor 306 uses the destination data network address provided by the one or more headers and compares the destination data network address to information stored in storage device 308. The information in storage device 308 comprises a destination data network address corresponding to a WCD 10 identification code, typically a telephone number. Processor 306 determines the identity of the desired WCD 10 by looking up the WCD identification number corresponding to the destination data network address provided in the one or more headers.

If a corresponding WCD identification code is found in storage device 308, a communication is initiated with the identified WCD 10, by base station 12 transmitting a data origination message. This is shown in FIG. 8 as step 804. In another embodiment, a data origination message is not necessary, if a communication between WCD 10 and destination device 26 is already "in progress," that is, WCD 10 has already initiated data communications with destination device 26. In this case, WCD 10 already knows how to interpret data being transmitted by base station 12.

If a data origination message is transmitted, it comprises information which allows WCD 10 to decode subsequent information, in one embodiment.

In another embodiment, the information to decode subsequent information is transmitted in one or more subsequent data frames. For example, in one embodiment, the data origination message instructs processor 212 to decode subsequent information into vocoder frames. In another embodiment, the data origination message comprises information pertaining to the re-creation of IP datagrams. This may include an identification of one or more data network headers, such as TCP headers, UDP headers, etc. It may also include one or more parameters which comprise the various data network headers, such as an address corresponding to the destination device, an IP checksum, a total length field, etc. The parameters are stored in storage device 216 for use in re-creating IP datagrams as information is subsequently received from base station 12 and IWF 18.

After the data origination message has been received and processed by WCD 10, subsequent information from base station 12 may now be transmitted to WCD 10. As further IP datagrams are received from IWF 18, processor 212 strips away any data network headers associated with the datagrams, leaving remaining information to be transmitted. This information is then re-formatted in accordance with a predetermined data format, such as the creation of vocoder-like frames, described above. The re-formatted information is then modulated using the particular modulation technique used in the communication system, such as CDMA, TDMA, or GSM, then transmitted over the air to WCD 10. WCD 10 receives the information and processes it using well-known techniques to re-create the data transmitted by the destination device, for example, vocoder-like frames.

The previous description of the preferred embodiments is provided to enable any person skilled in the art to make or use the present invention. The various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without the use of the inventive faculty. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

**WE CLAIM:**

## CLAIMS

1. An apparatus for reducing transmission overhead in a communication system, comprising:

a processor for generating a data origination message, said data origination message initiating a data communication with a receiving station, said processor further for transmitting information needed to construct data network header information at said receiving station, and for subsequently formatting information to be transmitted in accordance with a pre-determined format, said pre-determined format lacking data network header information; and

a transmitter for transmitting said data origination message and said formatted information to said receiving station.

2. The apparatus of claim 1 wherein said data origination message comprises said information needed to construct data network header information.

3. The apparatus of claim 2 wherein said information needed to construct data network header information comprises a data network header field.

4. The apparatus of claim 3 wherein said data network header field comprises a destination data network address.

5. The apparatus of claim 1 wherein said information needed to construct data network header information is transmitted in a data frame subsequent to said data origination message.

6. The apparatus of claim 1 wherein said formatted information is not transmitted until an acknowledgement is received from said receiving station.

7. The apparatus of claim 1 further comprising:  
an application for generating datagrams; wherein  
said processor is further for removing said data network header information from said datagrams prior to formatting.

8. The apparatus of claim 1 further comprising:  
an application for generating datagrams; wherein  
said processor is further for transmitting at least one full datagram to  
said receiving station, and further for removing said data network header  
information from subsequent ones of said datagrams prior to formatting.

9. A system for reducing transmission overhead in a communication  
system, comprising:

a communication device, comprising:

a processor for generating a data origination message, said data  
origination message initiating a data communication with a receiving station,  
said processor further for transmitting information needed to construct data  
network header information at said receiving station, and for subsequently  
formatting information to be transmitted in accordance with a pre-determined  
format, said pre-determined format lacking data network header information;

a transceiver for transmitting said data origination message and said  
formatted information to a receiving station; and

said receiving station, comprising:

said receiving station for receiving said information needed to construct  
datagrams at said receiving station and for providing said information needed  
to construct datagrams at said receiving station to a second processor;

said second processor for receiving said information needed to construct  
datagrams at said receiving station, for storing said information needed to  
construct datagrams at said receiving station in a storage device, and for  
configuring a data packet generator to generate datagrams to a destination data  
network address across a data network; and

said storage device for storing said information needed to construct  
datagrams at said receiving station ; and

said data packet generator for generating datagrams in accordance with  
at least one data network protocol, each of said data network protocols having a  
respective header associated with it, each of said headers comprising  
information obtained from at least said storage device.

10. The apparatus of claim 9 wherein said data origination message  
comprises said information needed to construct datagrams at said receiving  
station.

11. The apparatus of claim 10 wherein said information needed to construct datagrams at said receiving station comprises a data network header field.

12. The apparatus of claim 11 wherein said data network header field comprises a destination data network address.

13. The apparatus of claim 9 wherein said information needed to construct datagrams at said receiving station is transmitted in a data frame subsequent to said data origination message.

14. The system of claim 9 further comprising:

a data packet receiver for receiving datagrams from said destination device;

said second processor further for determining a destination communication device for which said datagrams are intended, and for removing data network header information from said datagrams, and further for formatting said data network header removed datagrams in accordance with a pre-determined data format, said pre-determined data format lacking said data network header information; and

a transmitter for transmitting said formatted information to said destination communication device.

15. The system of claim 5 wherein the destination communication device is determined by comparing a second destination data network address provided by said data packets from said destination device to a list of destination data network addresses in said storage device and retrieving a corresponding communication device identification code.

16. The system of claim 5 wherein said second processor is further for generating a second data origination message comprising information indicating that a data communication is available.

17. The system of claim 7 wherein said second data origination message comprises information for allowing said destination communication device to decode said formatted information.

18. A method for reducing transmission overhead in a communication system, comprising the steps of:

generating a data origination message, said data origination message  
4 initiating a data communication with a receiving station;  
transmitting information needed to construct data network header  
6 information at said receiving station;  
transmitting said data origination message to said receiving station;  
8 formatting information to be transmitted to said destination device in  
accordance with a pre-determined data format, said pre-determined data  
10 format lacking data network header information; and  
transmitting said formatted information to said receiving station.

19. The method of claim 18 wherein said data origination message comprises  
2 said information needed to construct datagrams at said receiving station.

20. The apparatus of claim 19 wherein said information needed to construct  
2 datagrams at said receiving station comprises a data network header field.

21. The apparatus of claim 20 wherein said data network header field  
2 comprises a destination data network address.

22. The apparatus of claim 18 wherein said information needed to construct  
2 datagrams at said receiving station is transmitted in a data frame subsequent to  
said data origination message.

23. The method of claim 18 wherein the step of transmitting said formatted  
2 information to said receiving station occurs after an acknowledgement is  
received from said receiving station.

24. The method of claim 23 wherein said acknowledgement indicates that a  
2 data packet generator at said receiving station is configured for sending  
information to said destination device.

25. The method of claim 18 further comprising the steps of:  
2 receiving said information needed to construct datagrams at said  
receiving station by said receiving station;  
4 storing said information needed to construct datagrams at said receiving  
station in a storage device;  
6 configuring a data packet generator to generate datagrams in accordance  
with said information needed to construct datagrams at said receiving station



8 across a data network, each of said datagrams comprising one or more data  
network headers, said data network headers constructed using at least said  
10 destination data network address stored in said storage device;

receiving said formatted information from said communication device to  
12 be transmitted across said data network;  
constructing datagrams in accordance with said data packet generator  
14 configuration; and

sending said datagrams across said data network to a destination data  
16 network address.

26. The apparatus of claim 25 wherein said data origination message  
2 comprises said information needed to construct datagrams at said receiving  
station.

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27. The apparatus of claim 26 wherein said information needed to construct  
6 datagrams at said receiving station comprises a data network header field.

28. The apparatus of claim 27 wherein said data network header field  
2 comprises said destination data network address.

29. The apparatus of claim 25 wherein said information needed to construct  
2 datagrams at said receiving station is transmitted in a data frame subsequent to  
said data origination message.

30. The method of claim 25 further comprising transmitting an  
2 acknowledgement to said communication device after said data packet  
generator has been configured.

31. A method for reducing transmission overhead in a communication  
2 system, comprising the steps of:

receiving a data origination message from a communication device, said  
4 data origination message initiating a data communication with a receiving  
station;

6 receiving information needed to construct datagrams at said receiving  
station;

8 storing said information needed to construct datagrams at said receiving  
station in a storage device;

10 configuring a data packet generator for transmitting data packets across

a data network to a destination device, said data packets formatting in  
12 accordance with at least one data network protocol, said at least one data  
network protocol comprising at least one data network header, said at least one  
14 data network header formed from information stored in said storage device;  
receiving formatted information from said communication device to be  
16 transmitted to said destination device;  
constructing datagrams in accordance with said data packet generator  
18 configuration; and  
transmitting said datagrams to said destination device across said data  
20 network.

32. The apparatus of claim 31 wherein said data origination message  
2 comprises said information needed to construct datagrams at said receiving  
station.

33. The apparatus of claim 32 wherein said information needed to construct  
2 datagrams at said receiving station comprises a data network header field.

34. The apparatus of claim 33 wherein said data network header field  
2 comprises said destination data network address.

35. The apparatus of claim 31 wherein said information needed to construct  
2 datagrams at said receiving station is transmitted in a data frame subsequent to  
said data origination message.

### ABSTRACT

A method and apparatus for reducing transmission overhead in a wireless communication system. In one embodiment, the apparatus is directed to a communication device comprising a processor for transmitting a data origination message, the data origination message initiating a data communication with a receiving station. Information needed to construct datagrams are also transmitted by the apparatus to the receiving station. The receiving station receives the data origination message and the information needed to construct datagrams and configures a packet data generator for sending datagrams to a destination device. Data network header information is constructed from information provided by the information needed to construct datagrams.

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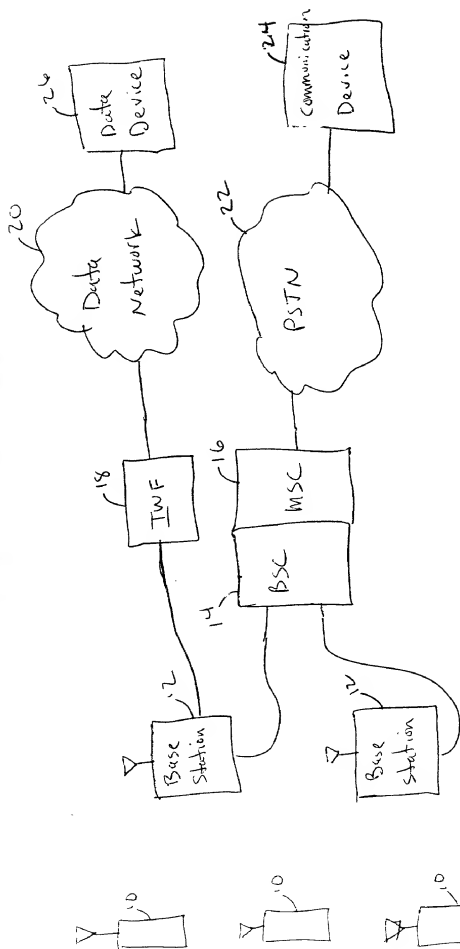


Fig. 1

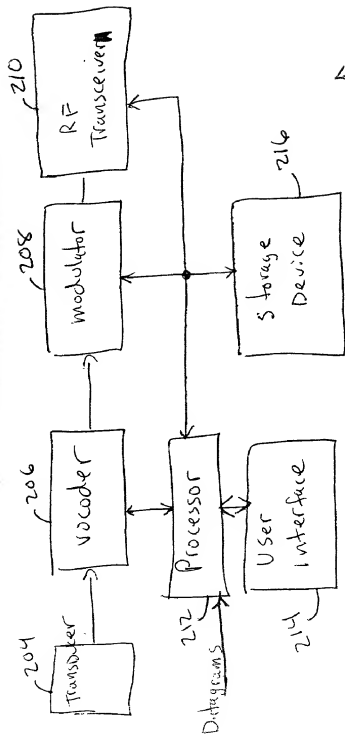


Fig. 2

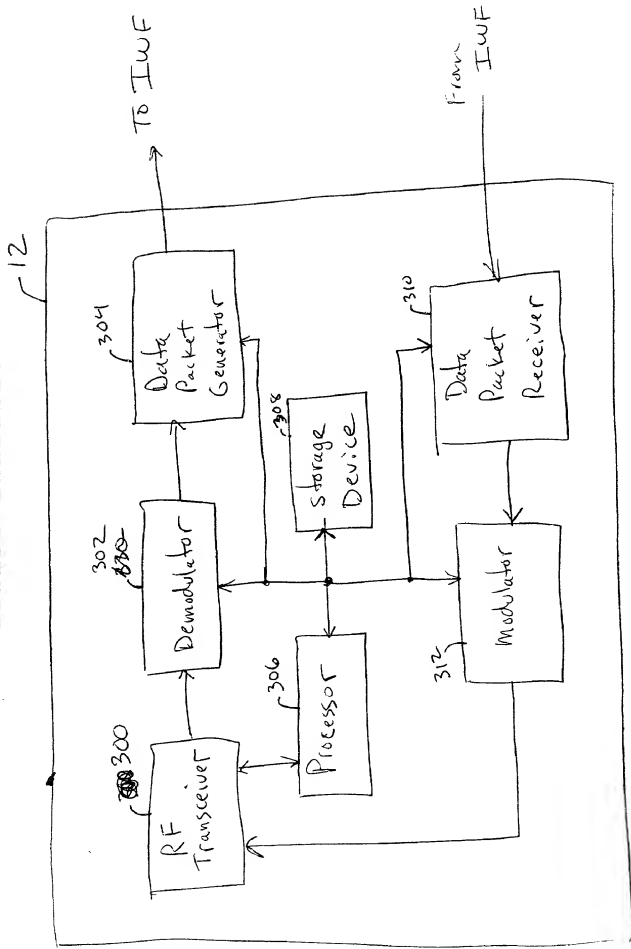
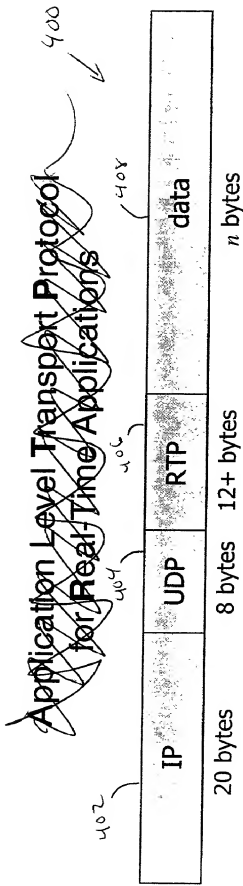


Fig 3.

Fig 4

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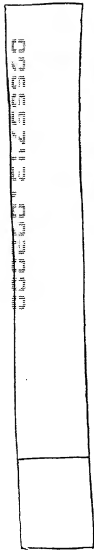


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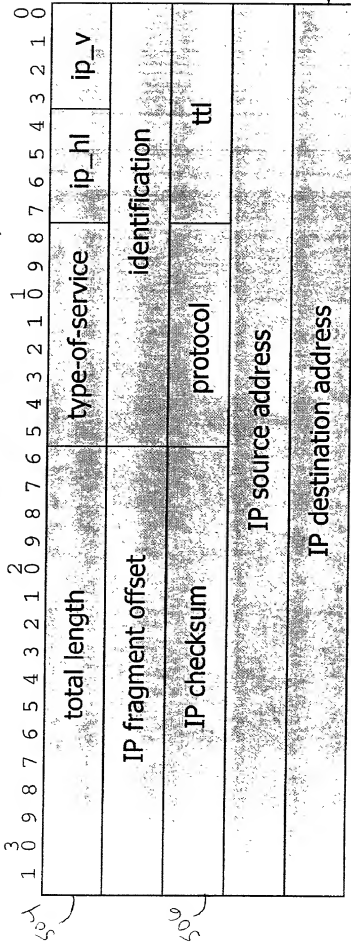
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QUALCOMM



# IP Header

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$$32 \text{ bits} * 5 = 20 \text{ bytes}$$

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gpr@qualcomm.com



Fig. 6

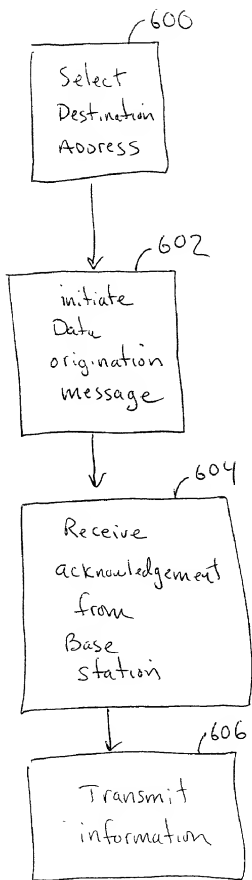
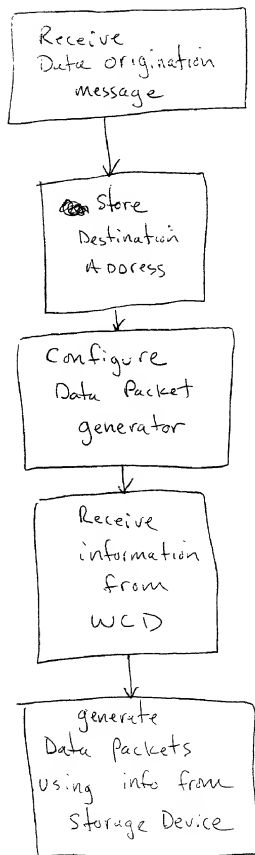


Fig. 7



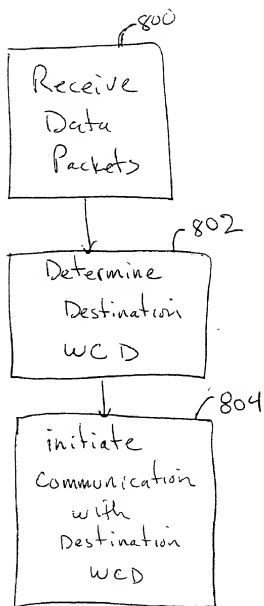


Fig. 8

# COMBINED DECLARATION / POWER OF ATTORNEY

ATTORNEY DOCKET NO.:990341

AS BELOW NAMED INVENTOR, I HEREBY DECLARE THAT: This Declaration is of the following type:

- ☒ Original  
☐ Continuation  
☐ Supplemental  
☐ Continuation-In-Part  
☐ National Stage of PCT  
☐ Divisional

My residence, post office address and citizenship are as stated below next to my name: I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention Apparatus For Performing Doppler Correction In A Wireless Communications System. the specification of which:

- ☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_  
☐ was amended on \_\_\_\_\_ (if applicable).  
☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Priority Claimed				
(Country)	(Application No.)	(Day/Month/Year/Filed)	(Yes)	(No)

I hereby claim the benefit under Title 35 USC 120 of the United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 USC 112, I acknowledge the duty to disclose material information as defined in Title 37 CFR 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

(Serial No.)	(Filing Date)	(Status)

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith: Russell B. Miller, Reg. No. 31,122, Gregory D. Ogrod, Reg. No. 30,880, Bruce W. Greenhaus, Reg. No. 37,339, Charles D. Brown, Reg. No. 28,285, Thomas R. Rouse, Reg. No. 40,793, Kent D. Baker, Reg. No. 38,822, Thomas M. Thibault, Reg. No. 42,181, Tom Streeter, Reg. No. 32,007, Christopher O. Edwards, Reg. No. 36,127, Pavel Kalousek, Reg. No. 44,178, Kyong H. Macek, Reg. No. 42,977, Byron Yafuso, Reg. No. 45,244, Raymond B. Hom, Reg. No. 44,773, Kevin J. Clark, Reg. No. 42,421, Sean English, Reg. No. 37,319, Roger W. Martin, Reg. No. 39,291, Sandip S. Minhas, Reg. No. 44,945, Michael D. Hartogs, Reg. No. 36,547, Philip R. Wadsworth, Reg. No. 29,219, S. Hossain Beladi, Reg. No. 42,311 and Albert J. Harnois, Reg. No. 46,123. Please direct all telephone calls to Philip R. Wadsworth at (858) 651-4404 and address all correspondence to: Sarah Kirkpatrick, Manager, Intellectual Property Administration, QUALCOMM Incorporated, 5775 Morehouse Drive, San Diego, California 92121-1714.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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